

Know Your Client

Securities legislation requires that the general investment objectives and the needs of mutual fund investors be determined and updated on a regular basis. This information will remain strictly confidential.

Client Information

Mr. Mrs. Miss Ms. Dr. Other Account Number _____
 Last Name _____ First Name _____ Initials _____
 Address _____
 City _____ Province _____ Postal Code _____
 Telephone: Res. () _____ Bus. () _____ Email _____
 Social Insurance Number M A N D A T O R Y Y Date of Birth Y Y Y Y M M D D

Joint Owner Information (if applicable)

Last Name _____ First Name _____ Initials _____
 Social Insurance Number M A N D A T O R Y Y Date of Birth Y Y Y Y M M D D
 Address (same as above)
 Address _____ City _____ Province _____ Postal Code _____
 PLEASE COMPLETE IF APPLICABLE: In Trust For* *Not applicable for Registered plans
 Last Name _____ First Name _____ Initials _____
 Social Insurance Number M A N D A T O R Y Y Date of Birth Y Y Y Y M M D D

Know Your Client Information

Applicable securities laws require us to determine the general investment needs and objectives of potential purchasers before executing purchase orders. This information will be held in strict confidence.

Investment Knowledge Sophisticated Good Fair Poor/Nil
 Investment Objective Income Long-term Growth Growth and Income
 Tolerance for Risk High Medium – High Medium Low – Medium Low
 Time Horizon Under 2 Years 2 – 5 Years 5 – 10 Years Over 10 Years
 Individual Gross Annual Income Up to \$49,999 \$50,000 – \$99,999 Over \$100,000
 Household Net Worth Up to \$49,999 \$50,000 – \$99,999 Over \$100,000
 Other Mutual Funds Owned Yes No
 Marital Status: Married Single Other Your Occupation: _____
 Spouse's Name: _____ Spouse's Occupation: _____

Number of Dependents: _____

Comments: _____

Client Signature _____ Joint Signature (if required) _____ Y Y Y Y M M D D Date _____

Sales Representative's Signature _____ Y Y Y Y M M D D Date _____

Compliance Officer's Approval _____ Y Y Y Y M M D D Date of Approval _____
 (and acceptance of, as agent for The Royal Trust Company)